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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the Application of: Takeshi NISHIUCHI, et al.

Group Art Unit: 1742

Serial No.: 09/977,363

Examiner: Andrew L. OLTMANS

Filed: October 16, 2001

P.T.O. Confirmation No.: 4158

FOR: RARE EARTH METAL-BASED PERMANENT MAGNET, AND PROCESS FOR PRODUCING THE SAME

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(c)

13

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 22, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the document listed on the attached Form PTO-1449. A copy of this document is attached along with a Notice of Reasons for Rejection. The other references cited in the Notice of Reasons for Rejection have already been made of record.

This Information Disclosure Statement is submitted after the mailing of a final action, a Notice of Allowance, or an action that otherwise closes prosecution in the application, but on or before payment of the Issue Fee.

The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no information contained in this statement was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement.

09/25/2003 TBESHAKH1 00000054 09977363

10/16/03


180.00 DP

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

A check is attached hereto which covers the \$180.00 fee set forth in 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this Statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP


Stephen G. Adrian
Reg. No. 32,878

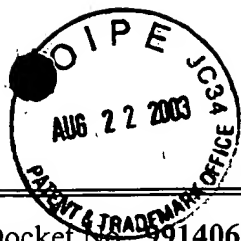
SGA/II
Atty. Docket No. 991406A
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and references (1)
Notice of Reasons for Rejection
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INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 991406A	Serial No. 09/977,363
	Applicant(s): Takeshi NISHIUCHI, et al.	
	Filing Date: October 16, 2001	Group Art Unit: 1742

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____ AF 63-110606	05/16/88	Japan	Abstract
_____ AG			
_____ AH			
_____ AI			
_____ AJ			

OTHER DOCUMENTS

_____ AK	
_____ AL	
Examiner	Date Considered